



# MICHAEL WISE

## PARTNER

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### PRACTICE AREAS

- Financial Services & Regulatory
  - Organization & Formation
  - Compliance & Regulation
  - Electronic Trading
  - Financial & Operational
  - Futures & Derivatives
  - Hedge Fund & Private Equity
  - Internal Investigations
  - Investment Advisors
  - Regulatory Investigations & Enforcement

### RECOGNITION

- AV Preeminent™ and 5.0/5.0 Peer Review Rating™ (Martindale Hubbell)
- 2018-2019 Best Lawyer® in America in Securities/Capital Markets Law (Best Lawyers Publishing), 24th and 25th Editions

### BAR ADMISSIONS

State of Illinois

### COURT ADMISSIONS

- United States District Courts  
Northern District of Illinois

### PROFESSIONAL AFFILIATIONS

Chicago Bar Association

### INDUSTRY PARTICIPATION

- Panelist, National Society of Compliance Professionals
- Panelist, National Regulatory Services

Michael Wise is a valuable resource and prominent adviser within the complex and ever-changing financial services and regulatory space. He concentrates his practice on the management of compliance issues, complex transactions and litigation matters, and regularly provides counsel to brokers, investment advisers, and funds and derivatives market participants.

Having served in roles at the SEC's Office of Enforcement, Chicago Stock Exchange and U.S. Attorney's Office, Michael brings vast knowledge and experience to bear for the firms and individuals he represents before the SEC, self-regulatory organizations and state regulators in investigations and enforcement proceedings. His litigation activities include handling arbitrations before securities and futures exchanges, and appearing on behalf of investment professionals in disciplinary proceedings.

Additionally, Michael advises corporate and investment company officers and directors in governance and employment related matters, including internal investigations, and regularly represents professionals, such as compliance officers, accountants and other attorneys, working in the financial services area. Michael has served as a panelist at various industry association events, and as Adjunct Faculty at Chicago Kent College of Law.

When he's not spending time with his family, Michael can be found bike-riding throughout the Chicagoland area.