



ELIZABETH C. LYONS

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PRACTICE AREAS

- Financial Services & Regulatory
 - Organization & Formation
 - Compliance & Regulation
 - Financial & Operational
 - Futures & Derivatives
 - Hedge Funds
- Litigation & Risk Management
 - Banking Litigation
 - Broker-Dealer and Investment Advisor Disputes
 - Securities Litigation

RECOGNITION

Emerging Lawyer
Commercial Litigation, 2017

BAR ADMISSIONS

Illinois

COURT ADMISSIONS

United States District Court
Northern District of Illinois

PROFESSIONAL AFFILIATIONS

- LAGBAC
 - Current Member
 - Past Board Member
- Chicago Bar Association
 - Current Member

PROFESSIONAL INVOLVEMENT

- Futures Industry Association, Law & Compliance Conference (National 2016 - 2018)
- Securities Industry & Financial Management Association (SIFMA), Compliance and Law Conference (National 2018, St Louis Regional 2017)
- National Society of Compliance Professionals (NSCP), Conference (Chicago Regional 2018)

Elizabeth “Liz” C. Lyons concentrates her practice in financial services regulatory compliance and defense. She has represented a wide range of clients, from individuals working with commodity traders, brokerage firms, and retail advisory firms, to publicly-traded financial firms, investment advisers and private equity firms of all sizes. With a strong background in economics, Liz understands the conflicting interests that affect every decision financial professionals must make—from deciding how to structure their compliance program, to resolving a litigation or enforcement action.

Liz endeavors to find pragmatic solutions that work for her clients, with an eye toward preserving the client’s reputation, reducing unnecessary workload, and protecting the client’s bottom line. She understands that the right solution for a mega-firm is not always the right fit for a small shop or individual. Liz regularly counsels clients on managing regulatory inquiries and investigations as well as on how best to avoid becoming a target for regulatory enforcement. To that end, she proactively advises clients on legal obligations under laws and regulations administered by the SEC, CFTC, and self-regulatory organizations. Liz also helps clients set up and maintain compliance with Anti-Money Laundering laws and regulations, as well as data privacy and security regulations within the U.S. and abroad.

Prior to joining Lawrence Kamin, Liz clerked for the Hon. Anna M. Loftus and the Hon. Lisa A. Marino, both of the Circuit Court of Cook County. She also served as a legal extern in the Chicago Enforcement Division of the SEC. Her personal interests include photographing critters on remote coral reefs around the world and finding cliffs to climb—indoors or in the mountains.